

ATTORNEY DOCKET NO.

REQ 1117005

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inter Application of: Douglas R. Elliott

Serial No:

09/758,624

Filing Date: January 11, 2001

Title: **METHOD OF REPEATEDLY SECURITIZING INTELLECTUAL PROPERTY ASSETS AND FACILITATING INVESTMENTS THEREIN**

Assistant Commissioner for Patents

Box: MISSING PARTS

Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on:

March 20, 2001

Date of Deposit

Yanela O. Carpenter  
Name Yanela O. CARPENTER

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Application Filing Date Granted dated February 27, 2001, in connection with the above-referenced application, enclosed are the following documents:

1. A copy of the Notice to File Missing Parts of Application;
2. Fully executed Declaration;
3. Fully executed Assignment and Assignment Recordation Cover Sheet;
4. Fully executed Verified Statement claiming Small Entity Status;
5. Four sheets of formal drawings in compliance with 37 CFR1.84, reflecting the

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changes noted by paragraph number six (6) of said Notice;

6. A check in the amount of \$105.00 (\$65.00 for Surcharge fee, \$40.00 for the assignment recordation fee; and
7. Postal Receipt Card.

It is believed that no additional fee is due; however, if the Commissioner believes that any additional fees are due, he is hereby authorized to charge them to Deposit Account No. 50-0605 of CARR & STORM, L.L.P.

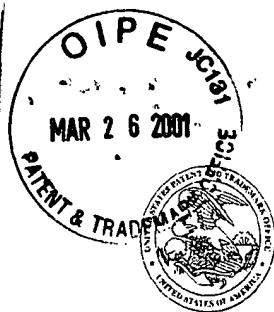
Respectfully submitted,

CARR & STORM, L.L.P.

By: Paul V. Storm  
Paul V. Storm  
Registration No. 35,803

Date: 3-20-2001

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~~SECRET~~

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/758,624	01/11/2001	Douglas R. Elliott	TEQ 1117005

CONFIRMATION NO. 8961

## FORMALITIES LETTER



\*OC000000005804609\*

Paul V. Storm  
CARR & STORM, L.L.P.  
670 Founders Square  
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Dallas, TX 75202

Date Mailed: 02/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2001 SDIRETA1 00000084 09758624

FILED UNDER 37 CFR 1.53(b)

~~01 FC:205~~~~65.00 GP~~

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 05/14/2001 TV0111  
03/28/2001 SDIRETA1 00000084 09758624  
~~01 FC:205~~~~65.00 GP~~

A copy of this notice MUST be returned with the reply.

Repln. Ref: 05/14/2001 TV0111 12384100  
DR# 500605 Name/Number: 09758624  
FC: 704 665. CR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2-11-11

2-11-11